



FEDERAL ELECTION COMMISSION RQ-3  
WASHINGTON, D.C. 20463

January 9, 1997

Jim Pledger, Treasurer  
The Democratic Party of Arkansas  
Federal Account  
1300 West Capitol  
Little Rock, AR 72201

Identification Number: C00024372

Reference: Amended Year End (7/1/95-12/31/95) dated 8/22/96, Amended April Quarterly (1/1/96-3/31/96) dated 8/9/96, July Quarterly (4/1/96-6/30/96, October Quarterly (7/1/96-9/30/96) and 12 Day Pre-General (10/1/96-10/16/96) Reports

Dear Mr. Pledger:

This letter is to inform you that as of January 8, 1997, the Commission has not received your response to our requests for additional information dated December 18, 1996. These notices request information essential to full public disclosure of your federal election campaign finances. To ensure compliance with the provisions of the Federal Election Campaign Act (the Act), please respond to these requests (copies enclosed).

If no response is received within fifteen (15) days from the date of this notice, the Commission may choose to initiate audit or legal enforcement action.

If you should have any questions regarding this matter, please contact Edward D. Ryan on our toll-free number (800) 424-9530 or our local number (202) 219-3580.

Sincerely,

A handwritten signature in dark ink, appearing to read "John D. Gibson", is written over a horizontal line.

John D. Gibson  
Assistant Staff Director  
Reports Analysis Division



FEDERAL ELECTION COMMISSION  
WASHINGTON, D.C. 20463

RQ-2

DEC 18 1996

Jim Pledger, Treasurer  
The Democratic Party of Arkansas  
Federal Account  
1300 West Capitol  
Little Rock, AR 72201

Identification Number: C00024372

Reference: Amended Year End Report (7/1/95-12/31/95) dated 8/22/96

Dear Mr. Pledger:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. The review raised questions concerning certain information contained in the report(s). An itemization follows:

-Schedule A of your report (pertinent portion(s) attached) discloses a contribution(s) which appears to exceed the limits set forth in the Act, 2 U.S.C. §441a(f) and 11 CFR §110.1(d) preclude a committee from receiving contributions from another political committee or person in excess of \$5,000 per calendar year.

If the contribution(s) in question was incompletely or incorrectly disclosed, you should amend your original report with the clarifying information. If the contribution(s) you received exceeded the limits, you must seek reattribution of the contribution pursuant to 11 CFR §110.1(k), transfer-out the amount in excess of \$5,000 to an account not used to influence federal elections or refund the excessive amount to the donor(s) in accordance with 11 CFR §103.3(b). In the best interest of your committee, all reattributions, transfers-out, and refunds should be made within sixty days of the treasurer's receipt of the contribution(s). In order to protect the donor's interests, the Commission recommends that you inform the contributor(s) in writing to provide the donor(s) with the option of granting written authorization for a reattribution or transfer-out to another account or receiving a refund.

Please inform the Commission of your corrective action immediately in writing and provide a photocopy of your check for the transfer-out or

THE DEMOCRATIC PARTY OF ARKANSAS  
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refund. In addition, any reattributions should be reported as memo entries on Schedule A of the report covering the period during which the authorization for the reattribution is received. Any transfers-out or refunds should be disclosed on Schedule B supporting Line 22 or 28 of the report during which the transaction was made.

Although the Commission may take further legal action regarding the acceptance of an excessive contribution(s), prompt action by your committee to seek reattribution, transfer-out or refund the excessive amount will be taken into consideration.

-On Schedule A supporting Line 11(a)(i) of the Detailed Summary Page, your report disclosed contributions from Unitemized Cash totaling \$10,550. Please be advised, that a contribution(s) of currency from any one source is limited to \$100. A cash contribution in excess of that limit must be returned to the contributor. 11 CFR §110.4(c)(1) and (2). In addition, anonymous contributions are limited to \$50 and any amount in excess of \$50 may not be used for federal election purposes. (11 CFR §110.4(c)(3))

If the contribution(s) in question was incompletely or incorrectly disclosed, you should amend your report with clarifying information. If you have received funds in an impermissible manner or from an impermissible source, your committee must transfer-out the impermissible funds to an account not used to influence federal elections or refund the full amount to the donor(s), if known, in accordance with 11 CFR §103.3(b).

Please inform the Commission of your corrective action immediately in writing and provide a photocopy of your check for the transfer-out or refund. In addition, any transfers-out or refunds should be disclosed on Schedule B supporting Line 22 or 28 of the report covering the period during which the transaction was made.

Although the Commission may take further legal action concerning the acceptance of funds in an impermissible manner or from an impermissible source, prompt action by your committee to transfer-out or refund the amount(s) will be taken into consideration.

-On Schedule H4 supporting Line 21(a) of the Detailed Summary Page, you have failed to include the purpose/event for several joint expenditures to various vendors. Note that the unique identifying code for an event is not considered an adequate description of purpose. Please amend your report to include this missing information.

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-On Schedule H4 supporting Line 21(a) of the Detailed Summary Page, you have not included the full name and/or mailing address for the vendor(s) listed. Please amend your report accordingly.

-Please clarify all expenditures made for advertising on Schedule H4. If a portion or all of these expenditures were made on behalf of specifically identified federal candidates, this amount should be disclosed on Schedule B or F supporting Line 23 or 25 and include the amount, name, address and office sought by each candidate. 11 CFR §104.3(b)

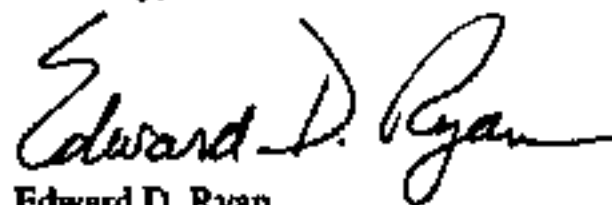
-Your report disclosed certain categories of financial activity that have been reflected on the wrong lines of the Detailed Summary Page. Contributions from other political committees (such as PACs) (Amer. Pharmaceutical Association PAC and Total Petroleum Inc. PAC) should be properly disclosed on a separate Schedule A, supporting Line 11(c) of the Detailed Summary Page. Please refer to the instructions contained on the forms to determine the proper categorization when preparing your next filing.

-Line 29 of the Detailed Summary Page discloses other disbursements during the reporting period. If this figure includes any disbursements to a payee, that aggregate greater than \$200 in the calendar year, please amend your report by itemizing the disbursements on Schedule B. 2 U.S.C. §434(b)(4)(H)(V)

-Payments made to credit card companies must identify the original vendors from which you have purchased an item or service if your payments to these vendors have exceeded \$200 this year. Please amend your report by providing the date, amount, and purpose of such payments as required by 11 CFR §104.9(b).

A written response or an amendment to your original report(s) correcting the above problem(s) should be filed with the Federal Election Commission within fifteen (15) days of the date of this letter. If you need assistance, please feel free to contact me on our toll-free number, (800) 424-9530. My local number is (202) 219-3580.

Sincerely,



Edward D. Ryan  
Reports Analyst  
Reports Analysis Division

SCHEDULE A  
Contributions from Individuals/Persons

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FOR LINE NUMBER 11(a)(4)

NAME OF COMMITTEE (in Full)  
Democratic Party of Arkansas-Federal Account C00024372

Any information copied from such Reports and Statements may not be sold or used by any person for the purposes of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

| Full Name<br>Mailing Address  | Name of Employer<br>Occupation | Date<br>MM/DD/YY | Amount     |
|---|--------------------------------|------------------|------------|
| John Gill<br>5100 Crestwood Dr.<br>Little Rock, AR 72207-<br>Receipt for [X]Primary     | Attorney                       | 12/13/95         | \$1000.00  |
| Aggregate YTD >   |                                |                  | \$1000.00  |
| C.J. Giroir, Jr.<br>45 Edgehill Rd.<br>Little Rock, AR 72207-<br>Receipt for [X]Primary | Businessman                    | 10/06/95         | \$20000.00 |
| Aggregate YTD >   |                                |                  | \$20000.00 |
| C.J. Giroir, Jr.<br>45 Edgehill Rd.<br>Little Rock, AR 72207-<br>Receipt for [X]Primary | Businessman                    | 11/30/95         | \$5000.00  |
| Aggregate YTD >   |                                |                  | \$25000.00 |
| Ormon J. Giss<br>33 Pinhurst Cir.<br>Little Rock, AR 72212-<br>Receipt for [X]Primary   | Investments                    | 12/06/95         | \$500.00   |
| Aggregate YTD >   |                                |                  | \$500.00   |
| Bobby Glover<br>P.O. Box 1<br>Carlisle, AR 72024-<br>Receipt for [X]Primary             | Businessman                    | 07/01/95         | \$170.00   |
| Aggregate YTD >   |                                |                  | \$170.00   |
| Bobby Glover<br>P.O. Box 1<br>Carlisle, AR 72024-<br>Receipt for [X]Primary             | Businessman                    | 07/15/95         | \$85.00    |
| Aggregate YTD >   |                                |                  | \$255.00   |
| Bobby Glover<br>P.O. Box 1<br>Carlisle, AR 72024-<br>Receipt for [X]Primary             | Businessman                    | 08/15/95         | \$85.00    |
| Aggregate YTD >   |                                |                  | \$340.00   |
| Bobby Glover<br>P.O. Box 1<br>Carlisle, AR 72024-<br>Receipt for [X]Primary             | Businessman                    | 08/15/95         | \$85.00    |
| Aggregate YTD >   |                                |                  | \$425.00   |
| SUBTOTAL of Receipts This Page.....>  |                                |                  | \$26925.00 |
| TOTAL This Period.....>   |                                |                  |            |

DATE-570-570-06